



HNS Reporting Guidelines and Tools

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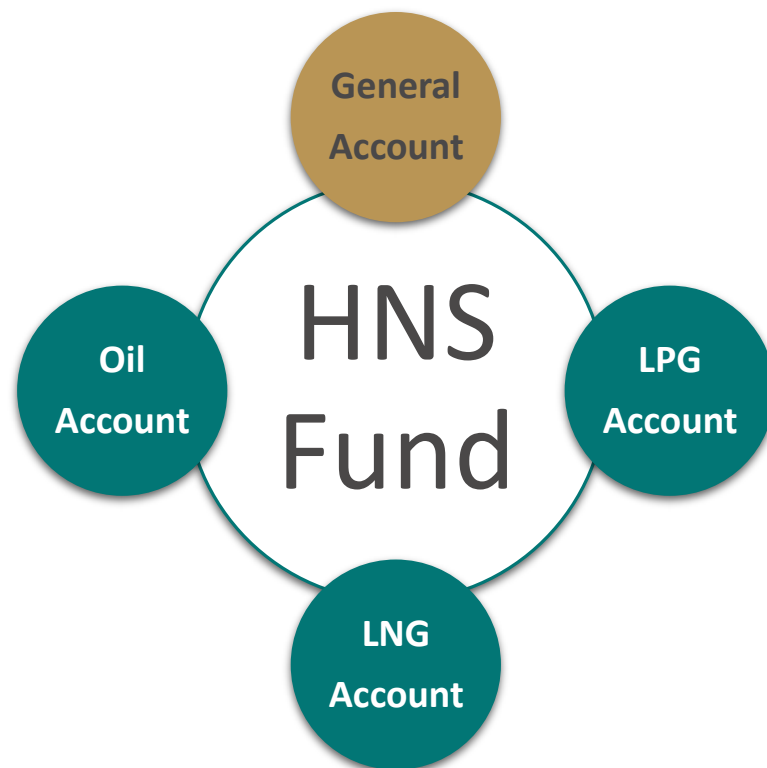
HNS Fund

Four separate accounts

There is **one general account** (bulk solid sector and other HNS sector) and **three separate accounts** in the HNS Fund.

Each account will meet the cost of compensation payments (no cross-subsidization).

Each account activated when the total quantity of contributing cargo reaches the required threshold.





HNS Fund

Thresholds for each account



	Threshold for Establishment of account	Threshold for contribution
General Account	40 million tonnes*	
<ul style="list-style-type: none">• Bulk solids• Other HNS		20 000 tonnes
Oil Account	350 million tonnes	
<ul style="list-style-type: none">• Persistent oil• Non-persistent oil		150 000 tonnes 20 000 tonnes
LPG Account	15 million tonnes	20 000 tonnes
LNG Account	20 million tonnes	No minimum

*Condition for entry into force



Reporting obligations

Obligation of Member States



Before entry into force

- Member States need to submit a report on total contributing cargo received when depositing ratification or accession instrument to IMO
- Have to continue to report every year thereafter until entry into force

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Receiver Model Reporting Form
To be submitted to the designated national authority

COMPANY _____ YEAR _____
STATE _____

RECEIPTS OF CONTRIBUTING CARGO
As defined on pages 3 and 4, or use the HNS Finder at <https://convention.imo.org/Tools/FinderOverview.aspx>

Account	Quantity (metric tonnes)
General	
Bulk solids	
Other HNS*	
Total	0
Oil	
Persistent oil	
Non-Persistent oil	
Total	0
LNG**	
Total	
LPG***	
Total	

*Defined under Article 3 of the 2010 HNS Protocol (see page 4)
**Liquefied natural gases of light hydrocarbons with methane as the main constituent
*** Liquefied petroleum gases of light hydrocarbons with propane and butane as the main constituents

NOTES

- While there is no reporting requirement for HNS transported in packaged form, compensation for incidents involving them will be covered under the Convention.
- Contribution is levied only in case of an incident.

SIGNATURE OF COMPANY OFFICIAL

Signature _____ Date _____
Name _____
Title _____
Address _____
Phone _____ Fax _____
E-Mail _____

SEAL

— Page 1 for Receiver Use —

Reporting to HNS Fund

What is contributing cargo?

Article 1.10 of the HNS Convention

Any bulk HNS which is carried by sea as cargo to a port or terminal in the territory of a State Party and discharged in that State

- ✓ **Packaged HNS** is not considered contributing cargo
- ✓ **Transit cargo** is not considered contributing cargo






HNS Finder

For more information: www.hnsconvention.org





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Search

Name/UN Number

Contains ▼

Account

All ▼

☐ Bulk cargo only

SEARCH

>

☐ Include synonyms

SAVE SEARCH RESULTS AS .CSV

NAME	UN NO.	TRANSPORT MODE	CONTRIBUTIONS			HNS CLASSIFICATION						
			CONTRIBUTING	ACCOUNT	SECTOR	I	II	III	IV	V	VI	VII
Ethanal	1089	Packaged	✗						✓			
		Bulk	✓	General	Other HNS					✓		
Methanal	1198 2209	Packaged	✗						✓			
		Bulk	✓	General	Other HNS		✓	✓			✓	
2-CHLOROETHANAL	2232	Packaged	✗						✓			

5 matches found



Reporting to HNS Fund

Who is the receiver?

Each person who has physically received **contributing cargo** after sea transport in a Member State, in a calendar year, in quantities above the threshold specified in the Convention, but:

- A person who physically receives HNS **on behalf of a third party** may designate that third party as the receiver (Agent / Principal concept)
- For **LNG**, annual contribution shall be made by the receiver or by the **titleholder**, if it has agreed to it
- Member States may establish their **own definition of 'receiver'**, **for as long as** the quantity of contributing cargo remains the same as per the HNS Convention definition





HNS Contributing Cargo Reporting Guidelines

Main features



Issues to consider	Before the Protocol enters into force
1. Definition of contributing cargo	Article 1.10 “any bulk HNS which is carried by sea as cargo to a port or terminal in the territory of a State Party and discharged in that State”
2. Definition of receiver	Article 1.4 (a) “the person who physically receives contributing cargo discharged in the ports and terminals of a State Party”
3. Relationship between the receiver and the principal	<ul style="list-style-type: none">• Submit contributing cargo reports only• Provide info on principal receivers
4. Relationship between the receiver of LNG and the titleholder	<ul style="list-style-type: none">• Submit contributing cargo reports only• Provide info on titleholders



HNS Contributing Cargo Reporting Guidelines

Main features



Issues to consider	Before the Protocol enters into force
5. Definition of transhipment	Use ship-to-ship transfer operations as a reference from the 1992 Fund reporting guidelines
6. Threshold limits for reporting	Lower thresholds to facilitate the ID of potential receivers
7. List of contributors and criteria for identification	<p>Physical receivers are liable to provide contributing cargo reports</p> <ul style="list-style-type: none">• Principals likely to be in non-Member States• Limiting reporting obligations useful for monitoring• Physical receivers to also identify contributors



HNS Contributing Cargo Reporting Guidelines

Main features



Issues to consider	Before the Protocol enters into force
8. Contributing cargo reporting forms	<ul style="list-style-type: none">• Physical receivers to Government authority• Government to IMO• Nil declarations
9. Submission of reports	<ul style="list-style-type: none">• At the time of ratification• Each year thereafter
10. Compliance and verification	The guidelines to be adopted prior to ratification/accession to facilitate reporting



HNS Contributing Cargo Reporting

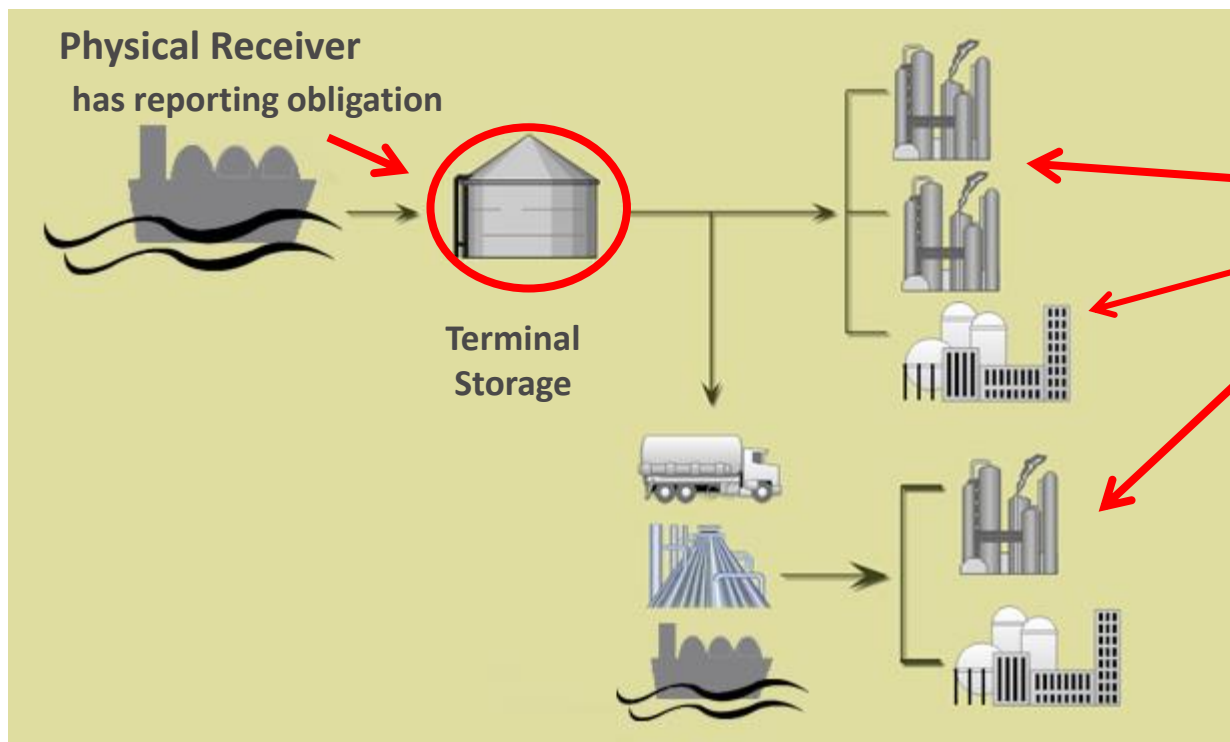
Before entry into force



31 October 2012
(8 States signed)

12 States need to ratify
(+ 18 months)

Entry into force



States to
Identify potential
contributors

To facilitate, lower
threshold limits for
reporting

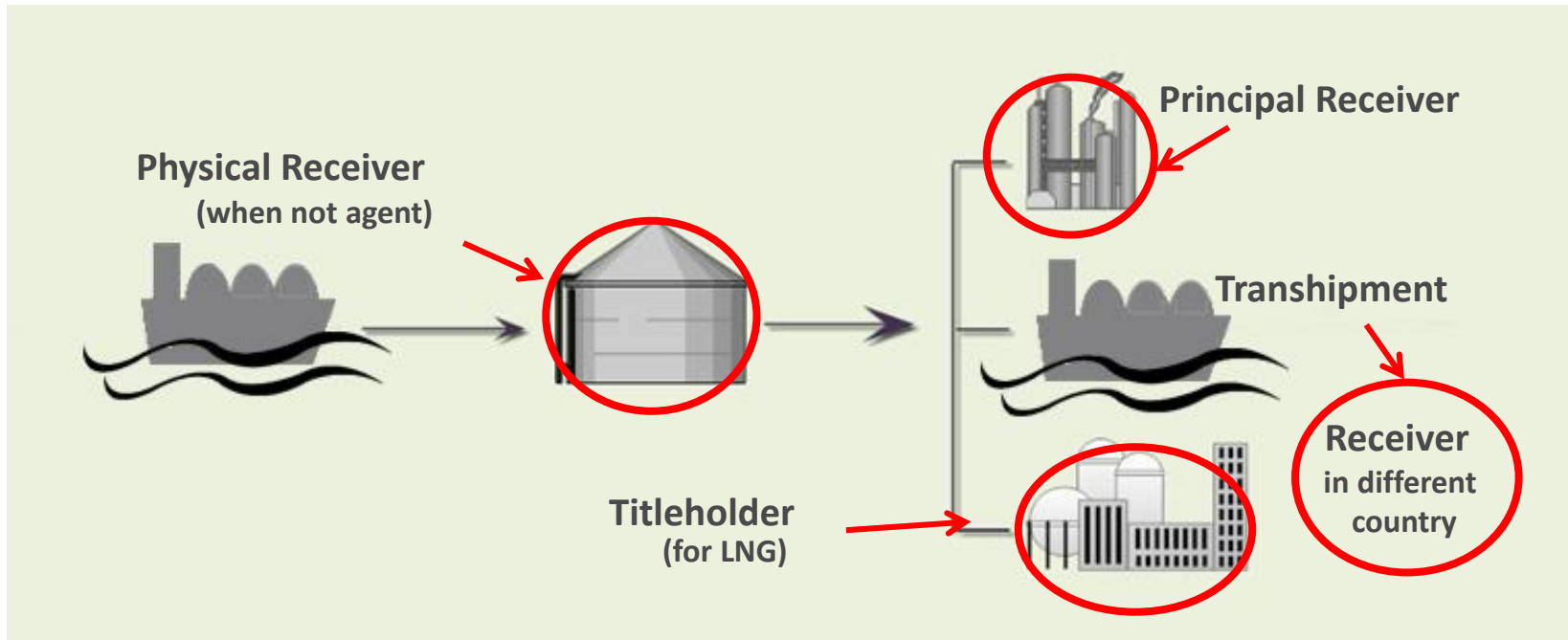
Reporting and financial obligation

After entry into force



→ Entry into force

4 scenarios



HNS Fund Assembly

- To adopt internal regulations to address those scenarios
- To decide on final reporting and contribution procedures



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